

Section 3

ATTORNEY'S DOCKET NO: S1402/7000  
(Formerly G0635/7004)

JHM

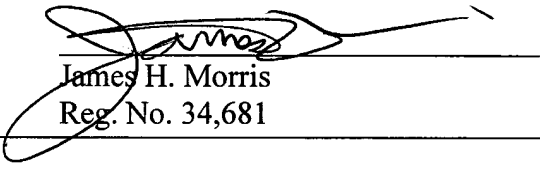
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: George I. DAVIDA and Yair FRANKEL  
Serial No: 09/303,053  
Filed: April 30, 1999  
For: SYSTEM AND METHOD FOR AUTHENTICATED AND PRIVACY  
PRESERVING BIOMETRIC IDENTIFICATION SYSTEMS

Examiner: Unassigned  
Art Unit: 2721

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document and the listed enclosures are being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on November 19, 1999.

  
James H. Morris  
Reg. No. 34,681

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing are the following documents:

- [X] Copy of Notice To File Missing Parts
- [X] Declaration for Patent Application signed by George Davida
- [X] Small Entity Declaration signed by George Davida
- [X] Declaration for Patent Application signed by Yair Frankel
- [X] Small Entity Declaration signed by Yair Frankel

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

418174.1

A check in the amount of \$1,164.00 is enclosed to cover the small entity fees for the basic filing fee, additional claims fee, surcharge fee, and four month extension of time fee. Please credit any overpayment or charge any deficiency in the enclosed fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

George I. DAVIDA and Yair FRANKEL

By: 

James H. Morris

Registration No. 34,681

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, MA 02210

Tel. (617) 720-3500

Attorneys for Applicants

Docket No.: S1402/7000

Dated: November 19, 1999



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JH111  
#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/303,053 04/30/99 ? ? G0635/7004

0292/0520

JAMES H MORRIS  
WOLF GREENFIELD AND SACKS PC  
600 ATLANTIC AVENUE  
BOSTON MA 02210

NOT ASSIGNED

*Jame*  
*me*  
2721  
DATE MAILED:

05/20/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition, accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 968-

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760- to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ 78- for 1 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.121(k), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

*D. Durham*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

00000015 09303053  
11/29/1999 HKHARA  
01 FC:201  
02 FC:205  
03 FC:202  
PO: 1998-448-824